Part C Annual Performance Report Summary – **DRAFT** (01/28/05)

Cluster	Indicator/Probe	OSEP Findings	OSEP Requirements for APR for data and analysis of…		Complaint System	Informal Complaint System	Data System	Surveys	Quality Indicators	SPOE/SC Monitoring	Provider Monitoring	Interagency Work	Other
General Supervision	GS.I Identification and correction of noncompliance	The state was not ensuring the correction of identified noncompliance The state was not meeting its responsibility to monitor all agencies …	The state was not ensuring the correction of identified noncompliance The state was not meeting its responsibility to monitor all agencies …		Yes	Yes	SPOE webSPOE	Parent Provider LEA		Yes	Yes	Correction of any identified noncompliance	
	GS.II Systemic issues identified and remediated				Yes	Yes	webSPOE	Parent Provider LEA		Yes	Yes	Correction of any identified noncompliance	
	GS.III Timely completion of complaints, DP				Yes								
	GS.IV Sufficient number of trained providers				Yes	Yes	NPA 45 day reasons	Parent Provider		Yes	Yes	DMH Service Coordinators	Provider database – enrollment and training
	GS.V Collection and reporting of accurate and timely data						SPOE webSPOE SPOE report Key Indicators			Source document reviews File selection Data verification	Source document reviews File selection Data verification		
Child Find	CC.I, CC.II Comprehensive child find system – birth to 3, birth to 1	The state was not ensuring that all eligible infants and toddlers were identified, located and evaluated	The state was not ensuring that all eligible infants and toddlers were identified, located and evaluated		Yes		SPOE webSPOE Referral, child count, race/eth, eligibility data	Parent Provider LEA		Yes-new contracts RICC		DHSS, DMH, IAs, Early Head Start	
Family centered services	CF Family centered services					Yes	webSPOE	Parent Provider	Yes				

Cluster	Indicator/Probe	OSEP Findings	OSEP Requirements for APR for data and analysis of…		Complaint System	Informal Complaint System	Data System	Surveys	Quality Indicators	SPOE/SC Monitoring	Provider Monitoring	Interagency Work	Other
EI services in natural environments	CE.I Access to service coordinator		Service coordination requirements		Yes	Yes	webSPOE	Parent		Yes			
	CE.II Timely eval/assess – 45 day timelines	The state was not ensuring that, within 45 days from referral, an evaluation and assessment was completed and an initial IFSP meeting held	The state was not ensuring that, within 45 days from referral, an evaluation and assessment was completed and an initial IFSP meeting held		Yes	Yes	SPOE webSPOE Timelines Reasons for exceeding 45 days	Parent SPOE Provider		Yes	Yes Timely evaluations and reports		
	CE.III IFSPs include all services necessary and all identified services are provided		IFSPs include all services All services identified on IFSPs are provided		Yes	Yes	webSPOE Auth & billing info NPA	Parent	Yes	Yes	Yes		
	CE.IV Services in NE and non-NE justification				Yes		webSPOE NPA		Yes	Yes	Yes		
	CE.V Improved and sustained functional abilities		Percentage of children participating in the Part C program that demonstrated improved and sustained functional abilities				webSPOE Evaluation of outcomes	Parent	Yes				School Entry Profile
Early childhood transition	CBT Early childhood transition		Transition planning conference requirements		Yes	Yes	webSPOE Transition data	Exit LEA	Yes	Yes			